



2874

Attorney's Docket No.: 42P11003

Patent

In re the Application of: Liu et al.

(inventor(s))

Application No.: 09/819,520

Filed: March 27, 2001

For: METHOD AND APPARATUS FOR INTERLEAVING AND SWITCHING AN OPTICAL BEAM IN A SEMICONDUCTOR SUBSTRATE

(title)

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

X No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 29	Minus	** 29	0	X9	\$	X18	\$ 0.00
Indep. Claims	* 4	Minus	*** 4	0	X42	\$	X84	\$ 0.00
<b>First Presentation of Multiple Dependent Claim(s)</b>					+140	\$	+280	\$
					Total Add. Fee	\$	Total Add. Fee	\$ 0.00

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on April 8, 2003  
Date of Deposit

Luci M. Arevalo  
Name of Person Mailing Correspondence

*Luci M. Arevalo*  
Signature

04-08-03  
Date

RECEIVED  
APR 17 2003  
TECHNOLOGY CENTER 2800

\_\_\_\_\_ A check in the amount of \$\_\_\_\_\_ is attached for presentation of additional claim(s).  
\_\_\_\_\_ Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
37 C.F.R. § 1.136(a).

\_\_\_\_\_ A check for \$\_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.

\_\_\_\_\_ Please charge my Deposit Account No. 02-2666 the amount of \$\_\_\_\_\_.

**A duplicate copy of this sheet is enclosed.**

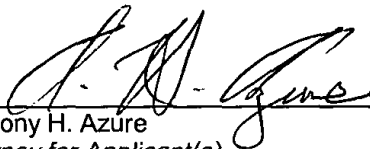
X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the  
following fees associated with this communication or credit any overpayment to Deposit Account  
No. 02-2666 (a duplicate copy of this sheet is enclosed):

X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of  
extra claims.

X Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: Apr. 8, 2003

  
\_\_\_\_\_  
Anthony H. Azure  
Attorney for Applicant(s)  
Reg. No. 52,580

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(206) 292-8600

42P11003



#17/Amend A  
4.18.03  
C Moore  
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Liu et al.

Serial No.: 09/819,520

Filed: March 27, 2001

For: METHOD AND APPARATUS  
FOR INTERLEAVING AND  
SWITCHING AN OPTICAL BEAM IN A  
SEMICONDUCTOR SUBSTRATE

Examiner: Sanghavi, H.

Art Unit: 2874

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, DC 20231

AMENDMENT AND RESPONSE

Sir:

Responsive to the Supplemental Office Action mailed March 18, 2003, the Applicants request the Examiner to enter the following amendments and to consider the following remarks.

RECEIVED  
APR 17 2003  
TECHNOLOGY CENTER 2800